

## Form for Fresh Nomination / Change of Existing Nomination/ Cancellation of Nomination

Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders  
Please read the instructions carefully before filling up this form

Name of 1<sup>st</sup> Holder \_\_\_\_\_

Name of 2<sup>nd</sup> Holder \_\_\_\_\_

Name of 3<sup>rd</sup> Holder \_\_\_\_\_

I/We, the above named Unitholders of \_\_\_\_\_ Mutual Fund, do hereby

Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death and/or

Cancel the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed below *(tick whichever is applicable).*

| Scheme Name | Folio No. |
|-------------|-----------|
| 1.          |           |
| 2.          |           |
| 3.          |           |
| 4.          |           |

|  |                                      |
|--|--------------------------------------|
| Name of the 1 <sup>st</sup> Nominee  | % of Allocation                      |
| PAN of the Nominee/Guardian*   | Date of Birth of Nominee* DD/MM/YYYY |
| Name of the Guardian *   |                                      |
| Guardian's Relationship with Nominee <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian   |                                      |
| Proof of relationship <input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others |                                      |
| Address  |                                      |
| City   | State PIN                            |

|  |                                      |
|--|--------------------------------------|
| Name of the 2 <sup>nd</sup> Nominee  | % of Allocation                      |
| PAN of the Nominee/Guardian*   | Date of Birth of Nominee* DD/MM/YYYY |
| Name of the Guardian *   |                                      |
| Guardian's Relationship with Nominee <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian   |                                      |
| Proof of relationship <input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others |                                      |
| Address  |                                      |
| City   | State PIN                            |

|  |                                      |
|--|--------------------------------------|
| Name of the 3 <sup>rd</sup> Nominee  | % of Allocation                      |
| PAN of the Nominee/Guardian*   | Date of Birth of Nominee* DD/MM/YYYY |
| Name of the Guardian *   |                                      |
| Guardian's Relationship with Nominee <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian   |                                      |
| Proof of relationship <input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others |                                      |
| Address  |                                      |
| City   | State PIN                            |

*\*applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate)*

I/We **DO NOT** wish to make a nomination. *(Please tick ✓ if the unitholder does not wish to nominate anyone)*

I/We have read and understood the instructions on nomination given below/overleaf and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

|                                     |                                 |                                 |
|-------------------------------------|---------------------------------|---------------------------------|
| Signature of the 1st unitholder<br> | Signature of the 2nd unitholder | Signature of the 3rd unitholder |
|-------------------------------------|---------------------------------|---------------------------------|

## **Instructions**

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder **cannot nominate**.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on “Anyone or Survivor” basis).
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
12. Nomination shall stand rescinded upon the transfer of units.
13. **Death of Nominee/s:** In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee’s share will be distributed equally amongst the surviving nominees.
14. **Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).**
15. **Cancellation of Nomination:** Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
16. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
18. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

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